

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

IXYS CORPORATION,

No. C 02-03942 MHP

Plaintiff,

**CLAIM CONSTRUCTION ORDER FOR
U.S. PATENTS NOS. 5,486,715, 5,801,419,
AND 5,283,202**

v.

ADVANCED POWER TECHNOLOGY, INC.,

Defendant.

AND RELATED COUNTERCLAIMS.

Plaintiff Ixys Corporation (Ixys) filed this action against defendant Advanced Power Technology, Inc. (APT), alleging infringement of two U.S. patents, numbered 5,486,715 (the “715 patent”) and 5,801,419 (the “419 patent”), that it holds on an improved design for power MOSFET devices. APT has counterclaimed for infringement of its patent, numbered 5,283,202 (the “202 patent”), on the design for transistors with lifetime control. The parties have requested that this court construe various disputed terms contained within these three patents. After having considered the parties’ arguments and submissions, and for the reasons set forth below, the court rules as follows.

BACKGROUND¹

This patent infringement case concerns the design and production of transistors and other semiconductor devices. Plaintiff Ixys Corporation and defendant Advanced Power Technology, Inc. are both semiconductor manufacturing firms that do business in Santa Clara, California. Ixys filed suit against

1 APT on August 15, 2002, alleging that APT was infringing two related patents detailing an improved design
2 for “high-frequency power MOSFETs” held by Ixys. On October 1, 2002, APT counterclaimed against
3 Ixys for infringement of a patent it held that described an improved design for producing “lifetime control” in
4 semiconductor devices. The parties have asked this court to construe a number of disputed terms found in
5 each of these three patents.

6 Although the technology involved in this case will likely be reasonably familiar to frequent students
7 or observers of patent law (particularly in this judicial District), to say nothing of engineers or technicians in
8 the field, a brief summary of the basic scientific background information necessary to understand the patents
9 at issue seems appropriate. These patents involve the use of semiconductors, materials which are neither
10 good conductors of electricity (such as metal) nor good electrical insulators (non-conductors, such as glass
11 or wood), but instead will conduct electricity reasonably well only under certain conditions. The typical
12 semiconductor, and the type employed here, involves a wafer of silicon (in which the atoms are arrayed in a
13 crystalline lattice structure) that has been infused or “doped” with trace amounts of other elements in order
14 to either add extra electrons to the lattice or to create “holes” (the absence of electrons) within the lattice
15 where electrons can be placed. The regions of the semiconductor that have been doped to add electrons
16 are called “N” regions, since these extra electrons represent negative charges; the regions that have been
17 doped to add “holes” are referred to as “P” regions, since these holes represent positive charges. Where
18 pure silicon (which is essentially glass or sand) would function as an insulator, these dopants allow the
19 silicon wafers in which they have been implanted to operate as partial conductors of electricity.

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21 I. The Ixys Patents

22 A transistor is a device that functions as an electronic—rather than a mechanical—switch. Every
23 transistor contains three operative regions: a source, a drain, and a gate. An electrical signal flows into a
24 transistor via the source, and is either allowed or prevented from flowing out through the drain by the
25 “gate,” which acts like its namesake; when the gate is “closed,” charge cannot flow to the drain, and when
26 the gate is “open,” charge is able to flow. Transistors are built by placing three oppositely doped regions
27 adjacent to one another (such as in a “PNP” configuration), with the middle region functioning as the “gate.”
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1 There are several different mechanisms that can be employed to “switch” the gate, reversing its polarity and
2 allowing charge to flow, including directly applying a voltage to the gate itself. The transistors described in
3 the ‘715 and ‘419 patents employ a different, well-known method to control the gate: they utilize a strip of
4 polysilicon and a strip of metal to create an electric field over the gate and switch the gate on or off by
5 modulating this field. This type of transistor is known generally as a “field effect transistor,” or “FET;” the
6 particular materials at use here classify this device as a “metal oxide semiconductor FET,” or “MOSFET.”

7 The word “transistor” usually conjures up an image of the millions of microscopic devices used in
8 every square inch of computer chips through which flow only microscopic amounts of current. However,
9 there is a subclass of MOSFETs known as “power MOSFETs” that are used to switch and control large
10 amounts current in order to power and operate large mechanical devices, such as motors, computers, or
11 medical devices. At issue in this case are “high-frequency power MOSFETs,” which, as their name would
12 indicate, are intended to manage large currents at high frequencies. The improvement over prior art that
13 forms the crux of Ixys’ patents is the addition of overlapping metallic layers, the first of which is deposited
14 on top of the gate polysilicon and strengthens the field used to operate the gate, and the second of which
15 forms two “buses” through which current can flow to the source and to the gate polysilicon.

16 Transistor fabrication involves the repeated deposition of one layer of material (a semiconductor,
17 insulator, or metallic conductor) upon another according to a pre-selected pattern. There are several
18 methods that are commonly utilized to define the locations in which a particular layer will be applied.
19 Transistor manufacturers may rely upon the natural geometry (the high and low points) of the transistor
20 layers that already exist in depositing a subsequent layer only in locations that are exposed in some
21 particular manner. An alternative method is “mask photo-lithography,” a process that involves first
22 depositing a layer of material, followed by a layer of a photo-sensitive compound, and then removing
23 unwanted sections by exposing them to light while shielding desired areas with a “mask” that has been
24 patterned according to the design specifications.

1 II. The APT Patent

2 APT's '202 patent employs the same basic semiconductor technology in a different manner and for
3 a different purpose. APT's invention principally concerns the technology for manufacturing diodes,
4 semiconductor devices formed by placing two (instead of three) doped regions end-to-end, such as in a
5 "PN" configuration. A diode functions as a one-way current valve, allowing charge to flow in one direction
6 but not in the reverse direction. Diodes are characterized with respect to their "lifetimes," the amount of
7 time required for a particular diode to reverse polarity (when the current applied to it is reversed) and
8 transition from permitting current flow to blocking current flow.

9 In its patent at issue here, APT teaches a design for a diode that uses deposits of a "transition"
10 metal (such as platinum or gold) to shorten the diode's lifetime and thus enhance its performance. APT's
11 improvement over prior art is the non-uniform distribution according to which it deposits this metal within
12 the diode substrate: by placing a larger proportion of the metal near the surface of the diode, in proximity
13 with the "junction" between the P and N-doped regions, APT proposes to effect substantial control over
14 the diode's lifetime without creating an unmanageable amount of "leakage current," current that is able to
15 flow in the opposite direction from what the diode is intended to permit.

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17 LEGAL STANDARD

18 Under Markman v. Westview Instruments, Inc., 52 F.3d 967, 979 (Fed. Cir. 1995), affirmed 116
19 S. Ct. 1384 (1996), the court "has the power and obligation to construe as a matter of law the meaning of
20 language used in the patent claim." The meaning of claims is ascertained principally through consideration
21 of three sources: the claim language, the patent specification, and the prosecution history. See Vitronics
22 Corp. v. Conceptoronic, Inc., 90 F.3d 1576, 1582 (Fed. Cir. 1996). In construing the meaning of claim
23 language, the court should look first at the claims themselves, then use the specifications to aid in defining
24 the terms used in the claims, and finally, turn to the prosecution history if necessary and if in evidence. Id. at
25 1582-83.

26 Unless claim terms are given a different meaning by the patentee, patent language is understood to
27 convey its ordinary meaning to one skilled in the art. Southwall, 54 F.2d at 1578 (citing Intellical, Inc. v.
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1 Phonometrics, Inc., 952 F.2d 1384, 1387 (Fed. Cir. 1992)). Courts are to construe disputed claim
2 language according to "an objective test of what one of ordinary skill in the art at the time of the invention
3 would have understood the term to mean." Markman, 52 F.3d at 986. If the claim language is "clear on its
4 face," then the court's "consideration of the rest of the intrinsic evidence is restricted to determining if a
5 deviation from the clear language of the claims is specified." Interactive Gift Exp., Inc. v. Compuserve Inc.,
6 256 F.3d 1323, 1331 (Fed. Cir. 2001). Courts are instructed to look to the specifications to clarify
7 ambiguous claim terms, but must avoid reading "limitations appearing in the specification ... into [the]
8 claims." Intervet Am., Inc. v. Kee-Vet Lab., Inc., 887 F.2d 1050, 1053 (Fed. Cir.1989).

9 Ordinarily, the intrinsic evidence found within the claim language, specifications, and prosecution
10 history should be sufficient to resolve any ambiguities and determine the meaning of the claims. Vitronics,
11 90 F.3d at 1583. Only when it is not may the court employ extrinsic evidence, and then only to aid the
12 court in "coming to the proper understanding of the claims" and the technology involved. Id. at 1584. The
13 court may consider extrinsic evidence only to the extent it helps illuminate the language of the patent
14 documents. Markman, 52 F.3d at 979-81. "The district court's claim construction, enlightened by such
15 extrinsic evidence as may be helpful, is still based upon the patent and prosecution history." Id. at 981.
16 Extrinsic evidence may not be used to vary or contradict the claim language. Id. Expert testimony is to be
17 eschewed and used only as a last resort. Vitronics, 90 F.3d at 1584-85. However, the Federal Circuit in
18 Vitronics did show a clear preference for some types of documentary extrinsic evidence, such as
19 dictionaries and prior art documents, when used properly by the court to illuminate how a person skilled in
20 the art would interpret particular ambiguous terms. Id. at 1585.

21 22 DISCUSSION

23 The parties have requested that this court construe a number of claim terms taken from all three
24 patents. Each term is considered in turn below.

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1 I. The Ixys Patents (The '715 and '419 Patents)

2 A. "A high-frequency power MOSFET device" ('715 patent, claim 23)

3 Both parties agree generally that a high-frequency device in this context is one that operates at
4 between 1 Mz and 900 Mz. However, the parties disagree about what it means for a device to "operate."
5 Defendant claims that the word operate is itself ambiguous and must therefore be defined with respect to
6 extrinsic evidence, namely the declaration of Douglas Pike, while plaintiffs argue that it would contravene
7 Vitronics either to engage in the construction of specification language (rather than claim language) or to
8 employ extrinsic evidence where the meaning of a term is clear from the language and specifications of the
9 claim itself. Vitronics, 90 F.3d at 1583.

10 This court is mindful of the fact that it must interpret only claim terms, not specification language,
11 and of the fact that it must not employ extrinsic evidence (particularly expert testimony) unless language of
12 the claims and specifications do not fully answer the questions at hand. Vitronics, 90 F.3d at 1584-85. At
13 the same time, it would be nonsensical, not to mention hardly efficacious, for this court to employ a term
14 that was itself materially ambiguous in construing claim language. Yet "operate" is not a particularly unclear
15 term, even in this context, and certainly not to the degree defendant suggests. A device that "operates" in a
16 certain frequency range is one that "functions properly" or "functions as intended" within that range, with all
17 of the attendant limitations implied by that language. This court is reluctant to apply defendant's
18 particularized limitation of "capable of delivering at least a 50% duty cycle" where that language may be
19 both under- and over-inclusive of a device's "operation." The court thus adopts plaintiff's construction,
20 minus the qualifier "about," which appears nowhere within the specification. The court construes this claim
21 to mean: **"A power MOSFET device which operates between 1 Mz and 900 Mz."**

22 B. "A plurality of active MOS regions within a semiconductor substrate" ('715 patent, claim 1)

23 The parties agree that this language should be construed to mean "At least two active MOS regions
24 (construed elsewhere) within a common semiconductor substrate;" defendant argues that this court should
25 understand this language to also require that the active regions be "separate." At the outset, it is not entirely
26 clear what APT means by the word "separate." At some points, APT appears to argue that the "plurality"
27 of regions must be "distinct" or "distinguishable" from one another. APT Opp. Br., at 5. On the other
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1 hand, Ixys suggests (with a greater degree of clarity than APT itself) that APT is instead advancing a limiting
2 construction that the regions must not be “connected.” Ixys Reply Br., at 3.

3 Of these two options, the former limitation is part and parcel of the phrase “at least two.” A
4 substrate cannot be said to contain “at least two” active regions if those regions cannot be distinguished
5 from one another, namely if it is impossible to identify a point at which one region exists, and the other does
6 not. The latter option is foreclosed by the specification, which states that “The embodiments shown may
7 comprise, for example, cells which are all connected in parallel.” ’715 patent, 7:53-56. A claim
8 construction that would not cover a preferred embodiment in the specification “is rarely, if ever, correct and
9 would require highly persuasive evidentiary support.” Vitronics, 90 F.3d at 1583. The language of the
10 second half of this claim—“each of said active MOS regions having a source region, channel region, and
11 drain region”—is not to the contrary, and does not provide near the evidentiary support necessary to
12 overcome the Vitronics presumption. ’715 patent, 7:63-65. Regardless of whether this means that each
13 active region must have *its own* source, channel, and drain regions, or whether regions may share a source
14 or drain,² the active regions may nevertheless be connected in some manner, such as through a bus.

15 The court has not been asked to construe the phrase “each of said active MOS regions having a
16 source region, channel region, and drain region,” and so it will not pass upon the question described above
17 regarding whether multiple active regions may share a source, drain, or channel. It is worth noting only that
18 “multiple” active regions cannot share a source, channel, *and* drain, since the active regions would cease to
19 be in any way distinct or distinguishable, and thus no longer constitute “more than one” region. Since the
20 language of the claim and specifications are sufficiently clear on these points, the court need not reference
21 any extrinsic evidence.

22 The court construes this claim language to mean: **“At least two distinguishable, active MOS**
23 **regions within a semiconductor substrate.”**

24 C. “On and [in] contact with” (‘715 patent, claim 1; ‘419 patent, claim 1).

25 Ixys and APT agree that the definition of this claim term should begin with the sentence “In a
26 layered device formed on a substrate, above and touching or in immediate proximity to.” While Ixys’
27 construction ends there, APT inexplicably urges this court to add that “On or in contact may impose
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1 different requirements, depending on the types of layers involved and how the layers interact.” APT is
2 surely aware that claim language is construed within the context of the claims in which it arises, and hence
3 that the same words may, in some limited cases, take on different meanings when applied to different
4 technologies or materials in different claims. Here, however, the court is asked to interpret the language
5 “On and [in] contact with” in the context of only two claims, both of which reference “an insulating layer” in
6 contact with “said first metallization layer.” Whatever “different requirements” APT may envision, they are
7 certainly not relevant here.

8 According to the parties’ agreement on the first part of the definition for this term, the court
9 construes this claim language to mean: **“In a layered device formed on a substrate, above and
10 touching or in immediate proximity to.”**

11 D. “Overlying” (‘715 patent, claims 1 and 23; ‘419 patent, claims 1 and 11)

12 The parties’ contentions regarding this claim language mirror their arguments over “on and in
13 contact with” above. However, “overlying” is used in four different claims in two patents and in relation to
14 at least three different pairings of materials: aluminum is overlying polysilicon in claims 1 and 23 of the ‘715
15 patent and claim 11 of the ‘419 patent, while a metallization layer is overlying an insulating layer in claim 1
16 of the ‘419 patent and polysilicon is overlying a semiconductor substrate in claim 1 of the ‘715 patent.
17 Although APT proposes only the vague sentence described above by way of addendum to Ixys’ proffered
18 construction, its brief seems to suggest that this court read into the claim the requirement that “overlying”
19 require electrical contact when used to reference certain pairings of materials.

20 Not only does the claim language itself not hint at the necessity of reading in such limitations, the
21 specifications provide no further support for APT’s assertions. The sections APT cites do not themselves
22 require such connection. See ‘715 patent, 5:66-6:11. Even if the specifications had indicated the necessity
23 of electrical contact between polysilicon and the first metallization layer, “[a]bsent a clear disclaimer of
24 particular subject matter, the fact that the inventor anticipated that the invention may be used in a particular
25 manner does not limit the scope to that narrow context.” Brookhill-Wilk 1, LLC v. Intuitive Surgical, Inc.,
26 334 F.3d 1294, 1301 (Fed. Cir. 2003).

The court construes this claim language (in all locations) to mean: **“In a layered device formed on a substrate, a relationship between first and second layers wherein the second layer is above or over the first layer.”**

E. “A first metallization layer comprising aluminum having portion overlying said polysilicon layer” (‘715 patent, claim 1)

“A first metallization layer comprising aluminum having a portion overlying a portion of said polysilicon layer” (‘715 patent, claim 23; ‘419 patent, claim 11)

The construction of these claim terms involves the necessary resolution of several issues, each of which are considered in turn below.

1. “Comprising aluminum”

The parties agree that an element “comprising aluminum” includes some amount of aluminum but may additionally include other materials. Genentech, Inc. v. Chiron Corp., 112 F.3d 495, 501 (Fed. Cir. 1997). APT argues further that the aluminum must be in electrical contact with the layer of polysilicon. However, despite noting one section of the specifications that describe an embodiment of the invention that involves electrical contact between the first metallization layer and the polysilicon, APT cites to no language within the claim itself that could reasonably be understood to require such contact.³ The Federal Circuit has “cautioned against limiting the claimed invention to preferred embodiments or specific examples in the specification.” Teleflex, Inc. v. Ficosa North America Corp., 299 F.3d 1313, 1328 (Fed. Cir. 2002).

2. Whether the aluminum must overly the entirety of the polysilicon layer, and whether the entirety of aluminum may overly polysilicon

The parties disagree on two issues relating to Ixys’ use of the word “portion” in two places within this claim language. The first question is whether, in the context of the language found in claim 1 of the ‘715 patent (the first phrase listed under “E.” above), the aluminum layer must *completely cover* the polysilicon layer, or whether it may only overlay *a portion* of that layer. APT constructs a compelling linguistic argument that this court must read a meaningful difference into the fact that Ixys used the phrase “overlying said polysilicon layer” in claim 1 of the ‘715 patent and the phrase “overlying a portion of said polysilicon

layer” in claim 23 of that patent and claim 11 of the ‘419 patent. In the course of construing claims, a court must endeavor to give meaning to every word of the claim language. See, e.g., Apple Computer, Inc. v. Articulate Systems, Inc., 234 F.3d 14, 25 (Fed. Cir. 2000); Harris Corp. v. Ixys Corp., 114 F.3d 1149, 1152 (Fed. Cir. 1997) (holding that a construction that effectively rendered certain terms of a claim irrelevant “would contribute nothing but meaningless verbiage to the definition of the claimed invention” and is therefore disfavored). APT therefore urges this court to construe the “overlying said polysilicon layer” to mean that the metallization must overlie the *entire* layer, in order to give meaning to the phrase “overlying a portion” that appears in later claim language.

Ixys counters by pointing to Figure 2B of its patent, which it says describes a preferred embodiment of the invention, and which distinctly shows a metallization layer that covers some, but not all, of the polysilicon. ‘715 patent, Fig. 2B. A claim construction that would not cover a preferred embodiment in the specification “is rarely, if ever, correct and would require highly persuasive evidentiary support.” Vitronics, 90 F.3d at 1583. APT argues that Claim 1 does not reference Figure 2B, but only Figure 2A, and that Figure 2B must be therefore linked to some other claim language, such as Claim 23. Yet this argument is belied by the language of the specifications: “FIG. 2B is a top view showing an interconnections [sic] 120 for the improved device of FIG. 2A.” ‘715 patent, 5:66-6:2. Although this court is extremely reluctant to adopt a construction that effectively renders the second “portion” meaningless, APT’s showing does not constitute the necessary “highly persuasive evidentiary support” required by Vitronics to overcome the presumption created by the preferred embodiment.

A similar pattern of argument repeats itself over the question of whether the language requiring a metallization layer “having [a] portion overlying” the polysilicon demands that *only* a portion of the metallization overlie the polysilicon (in other words, that there be some of the metallization layer that does not overlie the polysilicon) or whether *all* of the metallization layer may overlie the polysilicon (in other words, *at least* a portion of the metallization layer must overlie the polysilicon). APT points out that Ixys was well aware of how to use the phrase “at least” in this type of context; Claim 1 of the ‘715 patent itself contains the phrase “a source bus overlying at least said insulating layer.” ‘715 patent, 8:8-9. This time, however, APT must contend with both Figure 2A and Figure 2B of the ‘715 patent, which appear to show

1 *the entirety* of the metallization layer overlying a polysilicon layer, which is to say that there is not one
2 portion of the metallization layer that does not overly the polysilicon.

3 In an attempt to adduce the “highly persuasive evidentiary support” demanded by Vitronics as a
4 necessary predicate to construing claim language away from a preferred embodiment, APT points also to
5 Ixys’ argument before the patent examiner. Under established law, there exists a “heavy presumption that
6 claim terms carry their full ordinary and customary meaning” unless the patentee has “*expressly*
7 *relinquished claim scope during prosecution.*” Omega Eng’g., Inc. v. Raytek Corp., 334 F.3d 1314,
8 1323 (Fed. Cir. 2003) (emphasis added). APT claims that Ixys did precisely this before the patent
9 examiner in the course of distinguishing its own invention from the prior art of Korman et al. APT argues
10 that the patentability of Ixys’ device over Korman’s prior art rests upon the fact that Korman used a
11 “selective process” to deposit metal on polysilicon, while Ixys employed a mask-patterned method in which
12 metal was deposited “often over portions of silicon oxide [not just polysilicon], and then masked and etched
13 to form the desired patterns.” Catalano Dec. Exh. 11, at 4.

14 The language of Ixys’ letter to the patent examiner (from which the above-quoted line is drawn) is
15 rather convoluted, and its interpretation bears upon several related questions involved in the construction of
16 this claim term. It is worth reproducing the passage referenced by APT in its entirety:

17 Specifically, Korman et al. advocate the use of “selectively forming silicide layers only on the
18 exposed surfaces of the polysilicon gate electrode and the source/body regions.” (emphasis added)
19 Korman et al., col. 3, lines 40-45. As previously noted, Applicant asserts such selective formation
20 of silicide suggests away from the claimed combination with the first metallization layer comprising
21 aluminum *having a portion overlying the polysilicon layer portion, often not a selective*
22 *process.*

23 In addition, when a metal layer other than metal silicide layer is used, Korman et al. emphasize that
24 such metal is “deposited using a process which deposits the metal on the single crystalline or
25 polycrystalline silicon, but not on silicon oxide,” that is, a selective process. (emphasis added).
26 Korman et al., col. 3, lines 50-55. Applicant asserts such metal layer other than metal silicide as
27 taught by Korman et al. cannot be aluminum because the claimed metallization layer comprising
28 aluminum *is typically deposited overlying a top surface of a semiconductor often over*
portions of silicon oxide, and then masked and etched to form the desired patterns. Accordingly,
Applicant asserts Korman et al. suggest away from the claimed aluminum having a portion overlying
the polysilicon layer portion, which may *often be formed over silicon oxide.*”

Feeman Decl. Exh. 6, at 4 (In re Application of Nathan Zommer) (italics added) (all other emphases and
alterations in original). APT argues that the presence of aluminum over other parts of the substrate *in*
addition to the polysilicon was precisely the “improvement” that allowed Ixys to patent its invention over

1 Korman's prior art; an interpretation of claim language that allowed Ixys to recapture devices in which the
2 aluminum overlies only polysilicon would effectively return to Ixys that which it was forced to relinquish in
3 the course of putting forth a patentable device.

4 What APT has put forth is a potentially persuasive case regarding invalidity, not a winning argument
5 of claim construction. Under the *Omega Engineering* standard, the court may properly narrow claim
6 scope only when a patentee "expressly relinquished claim scope during prosecution," and Ixys, whatever
7 else the above language might appear to indicate, has not done that. Omega Eng'g., 334 F.3d at 1323.
8 Only "definitive statements" of claim scope abandonment are judicially cognizable at this stage of the
9 proceedings, as the Federal Circuit has "declined to apply the doctrine of prosecution disclaimer where the
10 alleged disavowal of claim scope is ambiguous." Id. at 1324.

11 APT's case for claim scope relinquishment is felled by the ambiguity permeating the patent
12 amendment excerpted above. Several times within the quoted paragraphs, Nathan Zommer, the inventor of
13 the '715 and '419 patents, suggests that the claimed invention is distinct from the prior art of Korman et. al
14 by virtue of the fact that the first metal aluminum is deposited not just overlying polysilicon, but over silicon
15 oxide (an insulator) as well. See Feeman Decl. Exh. 6, at 4. APT argues that these references lead
16 ineluctably to the conclusion that the inventor had disclaimed inventions in which the first metallization layer
17 overlay only polysilicon, and not other materials. However, each instance in which this potential limitation is
18 described is preceded by the word "often." See id. ("Accordingly, Applicant asserts Korman et al.
19 suggest away from the claimed aluminum having a portion overlying the polysilicon layer portion, which may
20 *often be formed over silicon oxide.*"). This language simply does not constitute an "ambiguous" and
21 "express" relinquishing of claim scope; the presence of the hedging term "often" lodges it firmly within a
22 realm of ambiguity. The prosecution history lacks the definite and unmistakable abandonment of claim
23 scope necessary to limit the full ordinary and customary meaning that claim terms would otherwise carry.

24 3. Mask-patterning

25 Much in line with the previous discussion, APT next asserts that APT's claims must be limited to
26 cover only metallization layers that have been deposited using mask-patterning. There is no particular
27 language within the claim itself that would indicate that mask-patterning is necessary. Rather, APT again
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1 argues that Ixys specifically relied upon a mask-patterning process to distinguish its invention from that of
2 Korman et al. The process used to deposit the first metallization layer is discussed twice within the portion
3 of the amended application quoted above: “... Applicant asserts such selective formation of silicide suggests
4 away from the claimed combination with the first metallization layer comprising aluminum having a portion
5 overlying the polysilicon layer portion, *often not a selective process*.”; “... the claimed metallization layer
6 comprising aluminum *is typically deposited overlying a top surface of a semiconductor often over*
7 *portions of silicon oxide, and then masked and etched to form the desired patterns*.” Feeman Dec.
8 Exh. 6, at 4 (underlining in original) (italics added). APT claims that this represents an “explicit
9 relinquishment” of the claim scope at issue; Ixys argues in response that the distinction being drawn in that
10 discussion regards aluminum vs. metal silicides as a metallization material.

11 The court believes that neither party is fully correct. While Ixys is certainly attempting to distinguish
12 between Korman’s use of metal silicide and its own invention’s employment of aluminum, the distinction
13 rests in part on the question of how the material was deposited; the quoted language ties the type of metal
14 used (aluminum) to the method by which it is deposited (“masked and etched”). However, although it is
15 certainly possible to read into this language an implied disclaimer of “selective processes” and a limitation
16 based on mask-patterning, that disclaimer is far from explicit. See Invitrogen Corp. v. Biocrest Mfg., L.P.,
17 327 F.3d 1364, 1367 (Fed. Cir. 2003). As with the sections referring to the placement of metal over
18 silicon oxide in addition to polysilicon (discussed above), each mention of mask-patterning is accompanied
19 by a linguistic hedge: “*often not a selective process*,” “*typically deposited... and then masked and etched*.”
20 Feeman Dec. Exh. 6, at 4. (emphasis added).⁴

21 Regardless of whether or not the Ixys patents are novel over prior art only to the extent that they
22 require mask-patterning, the prosecution history APT cites simply does not contain a sufficiently definite
23 and express statement of relinquished claim scope to legitimate a narrowing of those claims at this stage of
24 the proceedings. APT has, in essence, asked this court to peek ahead and adjudicate the invalidity of these
25 claims in the course of construing them. Although in close cases it is preferable to construe claims in such a
26 manner as to sustain their validity, a court must not depart from a claim’s otherwise appropriate meaning in
27 an attempt to preserve it. Rhine v. Casio, Inc., 183 F.3d 1342, 1345 (Fed. Cir. 1999) (“We have
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1 admonished against judicial rewriting of claims to preserve validity.”). This court declines APT’s invitation
2 to save Ixys’ claim term by such round-about means.

3 The court construes the claim language found in claims 1 and 23 of the ‘715 patent and in claim 11
4 of the ‘419 patent to mean: **“A metallization layer that contains aluminum at least a portion of which
5 is overlying at least a portion of the polysilicon layer.”**

6 F. “A first metallization layer comprising aluminum being defined overlying said gate region”
7 (‘419 patent, claim1)

8 1. APT’s suggested “In electrical contact”

9 Mapping its argument above, APT again suggests that this court read the requirement of electrical
10 contact between the first metallization layer and the polysilicon layer into the claim. For the reasons
11 described above, the court declines to do so.

12 2. “Overlying said gate region”

13 Both parties agree that the “gate region” consists, in relevant part, of a polysilicon layer overlying
14 channel regions, and possibly other areas. See ‘419 patent, 7:59-60; ‘715 patent, 5:22-26. Their
15 disagreement stems from the question of whether this claim should be understood to require that the first
16 metallization layer overly the channel region as part of the requirement that it overly the gate region. APT
17 would seem to argue that the gate region consists of only that part of the polysilicon that overlies the channel
18 region, which would imply that the first metallization layer must be found directly above the channel region in
19 order to literally overly the gate region. See ‘419 patent, 7:59-60 (the gate region is “defined overlying said
20 channel region.”). However, as discussed above, the word “overlying” here does not imply exclusivity: the
21 gate region may include portions of the gate polysilicon that overly other areas in addition to the channel
22 region. The ‘715 patent, a sibling of the ‘419 patent, makes this point when it discusses “portions overlying
23 the channel and field region.” ‘715 patent, 6:10-11. The court thus finds it inappropriate to limit the gate
24 region to that area that overlies only the channel region, and at the same time inappropriate to extend the
25 gate region to anything covered by the polysilicon gate layer. In order to overly the gate region, the first
26 metallization must overly either the channel region or the field region.

27 3. “Being Defined”
28

1 Regrettably for a court that is not as skilled in the art of MOSFET design as the parties to this case,
2 neither side's submission regarding the terminology "being defined" is a model of clarity. In its reply brief,
3 Ixys urges that the construction of this term retain the word "defined" because such a word is well known in
4 the art, but does not elucidate *what the word actually means*. APT urges that "defining" a layer
5 necessarily means using a mask, and points to several places within the specifications in which a mask is
6 used to define a particular alignment. However, none of these specifications appear to contemplate a mask
7 as the *sole* means of defining a layer, and Ixys notes that the specifications of the '715 patent reference a
8 non-mask method for defining a layer. '715 patent, 5:46-49.

9 APT's reference to the '715 patent's prosecution history is similarly inconclusive; the submission to
10 the examiner states that Korman et. al and Jones et. al failed to suggest "defining such first metallization
11 layer to form a portion overlying a portion of said polysilicon layer." Catalano Dec. Exh. 11, at 5. Yet
12 contrary to APT's assertion, the distinction is drawn not between the current invention and prior art that
13 used a "selective process" and thus failed to "define" a layer using a mask, but between the present
14 invention that defines a first metallization layer overlying silicon oxide *as well as* polysilicon and prior art
15 that defines it overlying only the latter.

16 Divining the proper interpretation of "defining" from these legal hieroglyphs is far from a
17 straightforward task. As best as this court can determine, to "define" a layer is to determine where that
18 layer will be deposited—a layer may be "defined" by any number of different processes, including mask
19 patterning or via the physical structure of the substrate itself.

20 4. Step-Plus-Function

21 In the alternative, APT suggests that Claim 1 be read as a "Step-Plus-Function" claim element
22 under 35 U.S.C. § 112, ¶ 6. The steps-plus-function analysis "is implicated only when steps *plus function*
23 without acts are present." O.I. Corp. v. Tekmar Co., Inc., 115 F.3d 1576, 1583 (Fed. Cir. 1997). This
24 claim does not use the phrase "steps for;" the omission creates a strong presumption that it is not claiming a
25 steps-plus-function element. Id. Moreover, this claim includes descriptions of acts to be taken, rather than
26 the functioning of the invention at issue. APT is unable to point to any "function" being described within the
27 claim language. Hence, it would be inappropriate to treat this claim as step-plus-function.
28

The court construes the claim language to mean: **“A metallization layer that includes aluminum, at least a portion of which is specifically deposited overlying at least a portion of the polysilicon layer directly above either the channel or field region.”**

G. Claim terms including “Insulating layer”:

“An insulating layer on and in contact with said first metallization layer” (‘715 patent, claim 1)

“Forming an insulating layer on and in contact with said first metallization layer”(‘419 patent, claim 1)

“An insulating layer overlying said first metallization layer” (‘715 patent, claim 23; ‘419 patent, claim 11)

APT urges this court to construe the claim terms at issue here to include the requirement that the insulating layer fully cover the first metallization layer and electrically insulate it from the second metallization layer. APT makes what is in essence an argument from practical function: if the first and second metallizations are to avoid shorting, there must be a layer of an electrical insulator between them, completely connecting them except for contact points. Although it seems self-evident that an “insulator” in this context must be an electrical insulator, neither the claims nor the specifications provide any indication that it must completely cover the first metal. See, e.g., ‘715 patent, 2:25-27. Furthermore, such an inference is not as easily drawn as APT’s argument would indicate. For instance, it may well be possible to construct a MOSFET in which the second metallization itself does not completely cover the area directly above the first metallization, leaving a portion of the latter that need not be insulated from the former. Given the possibilities of such construction, and the fact that someone skilled in the art would therefore not automatically assume that the insulator *must* cover the first metallization layer, this court will not infer limitations that do not clearly exist in the specifications.

For the reasons discussed above, and in reference to the construction of the term “overlying,” this court additionally declines to add the limitation that the insulating layer fully cover the first metallization layer in conjunction with that term in Claim 23 of the ‘715 patent or Claim 11 of the ‘419 patent.

The parties agree on the remaining essentials of the claim language at issue above. Since the terms are highly related, the court construes them as follows:

“A layer of electrical insulator that is on and in contact with the first metallization layer.”

1 **“Forming a layer of electrical insulator that is on and in contact with the first metallization**
2 **layer.”**

3 **“A layer of electrical insulator that is overlying the first metallization layer.”**

4 H. “A second metallization layer comprising a gate bus and a source bus overlying at least said
5 insulating layer” (‘715 patent, claim 1)

6 The construction of this phrase involves a number of separate issues, each of which are considered
7 in turn below:

8 1. Whether the second metallization layer may overly only a portion of the insulating
9 layer

10 The parties’ first disagreement centers around the interpretation of the phrase “overlying at least
11 said insulating layer,” specifically whether the limitation that it cover “at least” the insulating layer demands
12 that it overly the *entirety* of that layer. As a means of “clarifying” the claim language at issue, Ixys
13 endeavors to add the disclaimer “a portion” to the claim language at issue here in order to indicate that the
14 second metal need not overly all of the insulating layer. As it did before, APT properly notes out that Ixys
15 has used the phrase “a portion” repeatedly throughout its claims, and so its failure to do so here must
16 presumptively carry meaning. See, e.g., Apple Computer, 234 F.3d at 25. Indeed, the plain meaning of
17 “at least said insulating layer” appears to correspond directly with what APT believes the lack of the phrase
18 “a portion” implies: if the second metallization overlies “at least” the insulating layer, it must overly all of it
19 (as well as possibly overlying other materials).

20 Ixys’ response is to point to the specifications and argue that they display a preferred embodiment
21 of the invention in which the second metallization layer does not overly the entirety of the insulating layer.
22 This preferred embodiment is supposedly found in Figure 2B of the ‘715 patent, which displays the contact
23 points (numbers **129**, **131**, and **135**) that electrically connect the first and second metallization layers
24 through the insulator. See ‘715 patent, Figure 2B. Ixys argues that the presence of these “holes” within the
25 insulating layer indicate that the second metallization must not be overlying the entirety of the insulation. This
26 logical leap puzzles the court. A “hole” in the insulating layer represents (quite tautologically) an absence of
27 insulation, and this absence is not relevant to the question of whether the second metallization overlies that
28 insulation that does exist. By contrast, Ixys offers no evidence of a necessary “hole” in the second

1 metallization through which the insulating layer might “peek” through, and thereby fails to help its cause on
2 the question at hand.

3 There does exist one line within the specification that appears to provide some support to Ixys’
4 position. At one point, the specifications state that “[t]his second metallization layer is typically overlying *at*
5 *least a portion* of the insulating layer....” ‘715 patent, 5:32-34 (emphasis added). Ixys’ attempt to read
6 this broader construction from the specification into the claim itself, while not identical to an effort to add a
7 limitation from the specifications into the claim, seems analogous. The requirement that the second
8 metallization overly the entire insulating layer would not exclude a preferred embodiment, and the language
9 of the claim itself does not lack clarity. Under these circumstances, the plain language of the claim—not a
10 sideways implication from the specifications—must control. In the face of claim language to the contrary,
11 this court will not augment the claim scope in the manner Ixys requests.

12 2. “Source bus” and “Gate bus”

13 Although Ixys urges this court not to expound upon the meaning of the terms “source bus” and
14 “gate bus” and their relation to one another, the claim term at issue (as well as Ixys’ own proposed
15 construction) includes both phrases. This court is loathe to leave significant disputed words within a claim
16 element undefined, and so it will undertake to interpret the language of those terms fully.

17 At the outset, APT argues that the source bus and gate bus must be electrically isolated. This
18 seems a self-evident limitation: it is common knowledge within the industry that a “bus” is an electrical
19 conduit through which a signal or current may flow, and so an electrical interconnection between the two
20 buses would render them effectively one entity. In addition, any individual skilled in the art would
21 understand that a power MOSFET would not function correctly if the gate were shorted to the source; it
22 stands to reason that any device intending to function as a MOSFET must have a gate and source that are
23 electrically isolated.

24 With reference to the precise meaning of the “gate bus” itself, the specification discloses that it is in
25 “common” with the first metallization layer (presumably meaning in electrical contact), ‘715 patent, 8:12-14,
26 and that “with the improved structure, the turn-on or turn-off signal propagates from the gate pad through
27 the gate bus portion of the second metallization through the first metallization layer....” ‘715 patent, 6:25-
28

28. Ixys urges that this court apply a more generalized interpretation, based on the common understanding of the word “bus” as “one or more conductors used for transmitting signals or power,” a definition taken from the IBM Dictionary of Computing, which therefore does not speak directly to the issue of semiconductor construction at hand here.

Reliance on extrinsic evidence is improper where intrinsic evidence exists to define the term. Vitronics, 90 F.3d at 1583. Although the specification to which APT points appears less a definition of the gate bus and more a description of one embodiment of its functioning, it is well known to those skilled in the art that the function of a transistor “gate” is to switch the transistor on or off depending on the signal that it receives. The court will thus construe “gate bus” to mean generally “the conductor used to transmit an electrical signal or power to the gate.” If indeed the only current that travels to the gate is the on-off signal, this definition will end up subsuming APT’s proposal.

In light of this, and in the absence of any intrinsic evidence altering the meaning, the court construes “source bus” congruently as “the conductor used to transmit an electrical signal or power to the source regions.”

The court construes the language at issue here to mean: **“A second metallization layer, including a source bus and a gate bus that are not in electrical contact, overlying at least the insulating layer. The source bus is a conductor used to transmit an electrical signal or power to the source regions. The gate bus is a conductor used to transmit an electrical signal or power to the gate.”**

I. “A second metallization layer comprising a gate bus overlying said insulating layer” (‘715 patent, claim 23; ‘419 patent, claim 11)

Per the definitions of terms already settled above, the court construes the claim language at issue here to mean: **“A second metallization layer which overlies at least a portion of the insulating layer and includes a gate bus. The gate bus is a conductor used to transmit an electrical signal or power to the gate.”**

J. “Forming a second metallization layer overlying at least said insulating layer (including a bus line)” (‘419 patent, claim 1)

There is some confusion regarding whether this court has been asked to construe only the first part of a phrase from Claim 1 of the ‘419 patent (“forming a second metallization layer overlying at least said

1 insulating layer”), a larger “portion” of that phrase (“forming a second metallization layer overlying at least
2 said insulating layer, said second metallization layer including a bus line connected to said first metallization
3 layer through said opening in said insulating layer”), or some hybrid that does not actually exist within the
4 claim language (“forming a second metallization layer overlying at least said insulating layer (including a bus
5 line)”). The court finds astounding the parties’ inability to clarify precisely *what* this court is asked to
6 adjudicate, and is bemused at APT’s apparent temerity in requesting that this court evaluate language that is
7 not directly taken from a claim. In the face of such confusion, the court will attempt to take the most
8 responsible judicial route, a route that the parties have hardly facilitated.

9 Of the possible phrases listed above that this court might be asked to construe, the third of the
10 available options is quite clearly not a proper endeavor for this court. Nonetheless, APT appears to be
11 requesting that this court interpret the portion of this claim that includes “a bus line,” and so in the interests
12 of completeness the court will attempt just that.

13 As both parties note, the claim language at issue here is made up almost entirely of terms that have
14 already been construed by this court above. APT’s argument that this is a step-plus-function claim is
15 rejected along the same lines as described above, since this claim language is part of the same claim (which
16 includes the words “steps of” discussed previously). The court thus construes the phrase to mean:
17 **“Forming a second metallization layer overlying at least a portion of the insulating layer that had**
18 **been formed on and in contact with the first metallization layer. This second metallization layer**
19 **includes a bus line, which is connected to the first metallization layer through an opening in the**
20 **insulating layer..”**

21 K. “Low Temperature Oxide” (‘715 patent, claims 4 and 26; ‘419 patent, claims 5 and 15)

22 The parties disagree regarding the appropriate level of specificity this court should adopt in
23 construing the claim term “low temperature oxide.” Ixys argues that a low temperature oxide may be any
24 type of oxide, while APT contends that only silicon oxide (SiO_2) deposited by a particular chemical
25 reaction is appropriate. Both parties cite to the same portion of the specifications for support: “... a low
26 temperature oxide, gas dielectric, polyimide, or any other insulator requiring deposition, densification, or
27 curing, at temperatures less than about 450°C is deposited over the first metallization layer.” ‘715 patent,
28

1 5:50-52. Neither party has mentioned any other text in the specifications that bears on the question at
2 hand.

3 Although this would seem to provide an adequately clear definition of “low temperature,” and
4 although the language of the specifications does not provide any indication that the “oxide” must be a
5 particular type, APT nevertheless directs this court towards a silicon processing treatise and argues for a
6 definition based on the descriptions in those pages. APT notes correctly that such a reference is not
7 precisely the type of extrinsic evidence traditionally disfavored in claim construction. See Vitronics, 90
8 F.3d at 1583. On the contrary, “[t]he ordinary meaning of a claim term may be determined by reviewing a
9 variety of sources, including... dictionaries and treatises...” Teleflex, 299 F.3d at 1325 (internal citations
10 omitted). Of course, this does not alter the fact that “intrinsic evidence is the most significant source of the
11 legally operative meaning of disputed claim language.” Vitronics, 90 F.3d at 1582.

12 Although this court is willing to consider APT’s submission in interpreting this term, the materials
13 APT provides do not shed further light upon, nor meaningfully confine, the claim language. In particular,
14 none of the pages to which APT cites this court state that SiO₂ is the only low temperature oxide in use
15 within the industry, or even attempt to limit the range of oxides that may qualify as “low temperature oxides”
16 for the purposes of an invention such as this one. Because SiO₂ is not the only conceivable oxide to which
17 the patent might refer, any specification of the chemical process by which that oxide may be deposited is
18 unnecessary. The court construes the claim language at issue as follows: **“An oxide in which deposition,
19 densification, or curing occurs at temperatures less than approximately 450°C.”**

20 L. “Gas dielectric” (‘715 patent, claims 4 and 26; ‘419 patent, claims 5 and 15)

21 The construction of this claim conjures up a conundrum that seems relatively uncommon within
22 patent law, although not uncommonly abstruse. Ixys has represented to the court that “gas dielectric” is in
23 fact a misprint or typographical error, and should instead have been written as “glass dielectric” to comport
24 with the language of the specifications of both the ‘715 and ‘419 patents. See ‘715 patent, 7:10-12
25 (“Deposit a low temperature oxide, any other desired glass dielectric...”); ‘419 patent, 7:1-4 (same).
26 However, “gas dielectric” does not appear once as an isolated incidence of mistaken terminology. On the
27 contrary, it is used twice within the claims of the ‘715 patent, twice within the claims of the ‘419 patent, and
28

1 once within the specifications of each. See ‘715 patent, 8:22-24, 10:24-29, 5:50-52; ‘419 patent, 8:9-12,
2 8:50-53, 5:44-47.

3 In light of the fact that these repeated usages of “gas” have survived numerous revisions and
4 amendments to the patents at issue, Ixys’ characterization of “gas” as simply a typographical error strains
5 credulity. Moreover, “[i]t is important that the public be able to rely on the claims of a patent, in
6 conjunction with the specification and file history, as indicating ‘the metes and bounds of the claimed
7 invention.’” International Visual Corp. v. Crown Metal Manufacturing Co., Inc., 991 F.2d 768, 775 (Fed.
8 Cir. 1993) (citing London v. Carson Pirie Scott & Co., 946 F.3d 1534, 1538 (Fed. Cir. 1991)). By using
9 the word “gas” repeatedly, Ixys has created a reliance interest in the public (and particularly among
10 potential infringers such as APT) that this court is reluctant to disturb. The court thus declines to substitute
11 “glass” for “gas” where Ixys has failed to demonstrate the clear propriety of such a measure.

12 The question of the proper construction of “gas dielectric” itself nevertheless remains. Fortunately,
13 “dielectric” is a well-known technical term: a dielectric is a non-conductor of electricity, otherwise known
14 as an insulator. According to this understanding, the court construes the term at issue as follows: **“gas**
15 **insulator.”**

16
17 II. The APT Patent (The ‘202 Patent)

18 A. “A maximum dose of the selected transition metal that can be fully dissolved into the
19 substrate at a temperature in a range between a eutectic temperature of the substrate and
20 an annealing temperature of the substrate” (claims 1 and 2)

21 The parties place at issue several facets of the interpretation of this extended claim phrase. First,
22 Ixys contends that the “dose” in question here must be determined empirically, or that it must in some
23 manner be “measured.” As a matter of pure ontology, Ixys is almost surely correct: the maximum possible
24 dosage of a transition metal that may be dissolved is not the type of engineering question that can be
25 determined theoretically with any meaningful precision, and so at some level experimentation is essential.
26 However, neither party disputes that such experimentation has *already been undertaken* by others, and
27 Ixys provides no support for its contention that such experiments need be repeated in the course of
28

1 performing the claim steps at issue here. A person skilled in the art could simply consult one of the many
2 treatises employed by the parties. Ixys' proposed "measurement" limitation is rejected.

3 Second, Ixys argues that this court should assign a numerical value to the maximum upper dose in
4 the instance where platinum is the transition metal employed. APT responds that there is nothing in the
5 claim language that ordains platinum as the only usable metal, and this point Ixys does not appear to
6 dispute. APT argues further that it is inappropriate to attach numerical limitations gleaned from the
7 specifications to non-numerical claims, citing E.I. Du Pont de Nemours & Co. v. Phillips Petroleum Co.,
8 849 F.2d 1430 (Fed. Cir. 1988), for this proposition. However, that case simply cautions against
9 importing "a limitation read into a claim from the specification wholly apart from any need to interpret what
10 the patentee meant by particular words or phrases in the claim." Id. at 1433. This is a well-known axiom
11 of patent law, one which holds no proscriptive force when, as in the present case, the ambiguity of the claim
12 language ("a maximum dose") has itself begged the numerical question. Both parties agree that since Ixys'
13 allegedly infringing device uses only platinum that is the metal at issue here, and thus if the patent does
14 disclose a numerical limitation on the maximum dose of platinum it would be both appropriate and
15 expedient for this court to construe that limitation here.

16 The specifications for this patent state that "the substrate is doped with a transition metal in a
17 predetermined dose less than a predetermined maximum, which is about 2×10^{16} atom/cm² for platinum."
18 '202 patent, 9:5-8; see also '202 patent, 22:61-62 ("For Pt, this dose is less than 2×10^{16} atom/cm².").
19 Seeking to raise this maximum threshold, APT points to the specification language that indicates that "the
20 amount of Pt that can be dissolved into silicon is substantial (2×10^{21} atom/cm³) which is the cause for the
21 higher leakage current using conventional evaporation and diffusion." '202 patent, 23:2-6.

22 The differences between "dose" and "concentration" is one upon which the parties spend a great
23 deal of time, and that difference seems particularly relevant and worthy of elaboration here. The
24 "concentration" of transition metal in the silicon wafer is the number of atoms per unit volume that have been
25 dissolved into the substrate, and is therefore expressed in units of atoms/length³. The 2×10^{21} atom/cm³
26 figure quoted by APT is thus an expression of concentration. The "dose" of transition metal applied in
27 order to dope the substrate is the number of atoms per unit area that have been deposited on the surface of
28

1 the substrate (in order that they later be dissolved into the substrate), and hence is expressed in units of
2 atoms/length². As it has self-identified, the 2×10^{16} atom/cm² figure is a “dose.”

3 “Doses” and “concentrations” have both a practical and a mathematical relationship. As a
4 straightforward general rule, higher applied doses will cause higher concentrations of the transitions metals
5 to occur within the substrate. Further, the number of particles that are eventually dissolved within the
6 substrate must equal the number of particles initially deposited upon the surface of the substrate (assuming
7 that few transition metal atoms are created or destroyed in the process of dissolution). In other words,

$$\text{(average concentration throughout substrate)} \times \text{(volume of substrate)} = \text{(dose applied to substrate)} \\ \times \text{(surface area of substrate).}$$

8
9 Since the volume of the substrate is essentially equal to its surface area multiplied by its “thickness” or depth
10 (assuming no cross-sectional variation in the substrate geometry), Ixys has simplified the above equation
11 into the form

$$\text{(average concentration throughout substrate)} \times \text{(thickness of substrate)} = \text{(dose applied to substrate).}$$

12
13 While this formula is essentially correct, it is not sufficiently precise to be entirely useful here. The entire
14 purpose of this invention is to create a substrate with a heterogenous transition metal concentration that
15 varies with depth. By consequence, this relationship is more usefully expressed as

$$\text{dose applied to substrate} = \int (\text{concentration at a given depth}) \times \partial(\text{depth}).$$

17
18 The significant fact here is that maximum concentrations at any given point can well exceed the “average
19 concentrations” within a substrate. Without knowing whether a listed concentration is a “maximum
20 concentration” or “average concentration,” it is impossible to simply approximate dosage by multiplying
21 concentration by thickness.

22 This analysis is made relevant through application to the quarrel between APT and Ixys over the
23 maximum dosage described above. Ixys’ argument is buttressed by quite explicit and categorical
24 specification language (found within the “Summary of Invention” section) that teaches that the maximum
25 dose for Pt is 2×10^{16} atom/cm². APT argues in response that the dosage level must be higher than Ixys
26 alleges because a 1 mm thick substrate with a concentration of 2×10^{21} atom/cm³ must have had an incident
27 dosage of 2×10^{20} atom/cm². However, this calculation relies on portraying 2×10^{21} atom/cm³ as an
28

1 *average* dosage throughout the substrate, not a maximum dosage at any given point. This portrayal does
2 not withstand an examination of the specification, which describes the number in question as “the amount of
3 Pt that *can be dissolved into silicon*,” denoting a maximum possible concentration at any location. ‘202
4 patent, 23:3-4. In addition, the specification section that gives this figure references the “solid solubility of
5 Pt in silicon” at a particular temperature range, namely the “Pt-Si eutectic temperature.” ‘202 patent, 23:2-
6 3. The 2×10^{16} atom/cm² figure, referenced explicitly in the specifications as the maximum dosage, is not
7 controverted, and is therefore controlling.

8 The court construes this claim as follows: **“The upper limit to the dose of selected metal that
9 can be fully dissolved within the semiconductor material at subsequent processing temperatures.
10 When the selected metal is platinum, this maximum dose is about 2×10^{16} atoms/cm².”**

11 B. “The maximum dose sufficient to effect lifetime control without substantially increasing
12 leakage current of the device” (claims 1 and 2)

13 As a logical antecedent to interpreting the longer claim language phrase designated by the parties
14 for construction, it is first necessary to affix a meaning to the amorphous term “substantially increasing”
15 when used in this context. The need for contextual understanding, while always present in claim
16 construction, is distinctly heightened in the case of language such as this which invokes an implicit
17 comparison between a baseline level of leakage current and a quantity “substantially” above that baseline.

18 The specifications describe such a comparison in two places. First, they teach that “[a] dose in the
19 range of 10^{11} to 10^{16} atoms/cm² of Pt is sufficient to effect lifetime control without an appreciable increase in
20 leakage current. Higher doses can be used but will cause a higher leakage current.” ‘202 patent, 23:9-13.
21 This description may or may not be rephrasing “substantial” as “appreciable;” in either case, by itself it is
22 unhelpful. However, it appears to state that a dose as large as 10^{16} atoms/cm² will not cause substantial
23 leakage current.

24 In a second location slightly farther along, the specifications state that “Conventional evaporative
25 deposition of e.g. 100 Å of platinum will produce an equivalent surface dose of 6.5×10^{16} atoms/cm²,
26 diffusion of which would give a leakage current of three to four orders of magnitude greater than no lifetime
27 control. ... In contrast, implanted platinum doses in the range of 1×10^{13} to 1×10^{16} /cm² gave leakage
28 currents in power MOSFET and IGBT-type devices that were no more than two orders of magnitude

1 greater compared to devices with no lifetime control.” ‘202 patent, 23:50-61. Since the specification
2 language referenced in the previous paragraph has already described the leakage current caused by doses
3 up to 10^{16} atoms/cm² as not an “appreciable increase,” the second segment of language described at the
4 top of this paragraph indicates that leakage currents “that were no more than two orders of magnitude
5 greater compared to devices with no lifetime control” do not constitute “substantial” increases.

6 This “Conventional evaporation” language described above sets forth another comparison between
7 the quantities described in its first and second sentences. The “two orders of magnitude” (and thus not
8 “substantial”) greater leakage current caused by doses up to 10^{16} atoms/cm² is described “in contrast” to
9 doses of 10^{16} atoms/cm² “which would give a leakage current of three to four orders of magnitude” above
10 the baseline (set by a device without lifetime control). Putting these pieces of specification language
11 together, the court thus finds that the specifications imply that a leakage current three to four orders of
12 magnitude above the baseline constitutes a “substantial increase.”

13 Having resolved the prior question regarding “substantially increasing,” the remaining aspects of the
14 construction of this claim fall into place in a relatively straightforward manner. While the claim language
15 again does not limit the transition metal to any particular type, this case will focus around Ixys’ use of
16 platinum, and thus the court will be as specific regarding Pt as possible. The specifications analyzed above
17 indicate that a Pt dose of 6.5×10^{16} atoms/cm² will cause a “substantial increase” in leakage current, but no
18 portion of the specifications appears to further limit that upper threshold. The specifications contain
19 numerous references to a dose of 10^{16} atoms/cm², and in particular they teach that “A dose in the range of
20 10^{11} to 10^{16} atoms/cm² of Pt is sufficient to effect lifetime control without an appreciable increase in leakage
21 current. Higher doses can be used by will cause a higher leakage current.” ‘202 patent, 23:9-13. The
22 specification does not indicate that for doses above 10^{16} atoms/cm² the leakage will be “substantially
23 increased;” it states only that leakage current will be “higher.” Absent a more explicit limitation within the
24 specifications, Ixys cannot demonstrate that the claims are limited to the range it has described. However,
25 as described in section (A) above, the specifications indicate (and the claims have been construed so to
26 reflect) that the maximum dose of Pt that can be dissolved within the substrate is 2×10^{16} atoms/cm², and
27
28

1 so the range of doses between 2×10^{16} atoms/cm² and 6.5×10^{16} atoms/cm² will likely be rendered
2 functionally irrelevant for the purposes of this adjudication.

3 For the reasons described in part (A) above, Ixys' proposed limitation regarding the need for
4 "measurement" is similarly rejected. Also, neither party has presented any argument to the court regarding
5 the construction of the phrase "effect lifetime control" that is found within this claim language, and in fact
6 APT employs that same phrase in its proposed construction. The meaning of that three-word term is
7 apparently sufficiently well-established among those skilled in the art that this court need not clarify it further
8 or resolve any related lingering dispute among the parties.

9 The court construes the claim language at issue to mean: **"The upper limit to the dose of
10 transition metal that can be applied to the device that will be sufficient to effect lifetime control
11 but will not cause the leakage current to increase by three orders of magnitude or more when
12 compared with a similar device that has not had transition metal added. For Pt, this upper dose
13 limit is between 10^{16} and 6.5×10^{16} atoms/cm²."**

14 C. "Determining and depositing on a surface of the substrate adjacent the PN junction, a dose
15 of the transition metal" (claim 2)

16 Although Ixys offers a construction of this claim as part of the Joint Claim Construction Statement,
17 it does not appear to contest the issue in its brief. Nor does APT offer much elucidation regarding its prolix
18 description of the technology at issue, or even suggest a particular set of words that this court should adopt
19 as its construction of the terms in question. Fortunately, this phrase does not present any severe problems
20 in the course of its construction—the majority of the words in use are self-evident. Most importantly, for
21 the purposes of the disagreement that does exist, APT is correct in asserting that the limitation requiring that
22 a dose be deposited "on a surface of the substrate" does not require that the dose remain on the surface in
23 perpetuity. The dose is merely "deposited" on the surface (it could hardly be deposited elsewhere) and
24 may then be diffused into the surface. This, as the court understands it, is precisely what APT's patent
25 outlines.

26 Additionally, Ixys requests that this court interpret the word "adjacent the PN junction" to mean
27 "next to the PN junction." As this court understands the technology, the PN junction in the type of diode
28 discussed in this patent exists somewhere beneath the surface of the diode where the p-doped region meets

1 the n-doped region. See, e.g., Ixys' Opp. Br., at 4. It thus cannot be entirely accurate to describe a dose
2 being deposited on the surface that is "next to" the PN junction, because the junction and the surface must
3 be separated by some depth within the substrate. A superior construction is the preposition "near," which
4 captures the idea that the deposition must occur within the vicinity of the junction so that it can have the
5 desired effect without imposing a topographically illogical limitation upon the claim.

6 The court construes the claim language to mean: **"Ascertaining after consideration,**
7 **investigation, or calculation and depositing a dose of the transition metal on a surface of the**
8 **substrate near the PN junction."**

9 D. "First portion of the dose" (claim 1)

10 Per the agreement of the parties, the court construes this claim language to mean: **"That portion of**
11 **the dose which is diffused first."**

12 E. "Second portion of the dose" (claim 1)

13 The parties' disagreement over this term has coalesced around the question of whether the "second
14 portion of the dose" must be applied after the first portion, in addition to being diffused after it, or if they
15 may be applied together. Ixys contends that the plain language of the claim itself demands that the second
16 portion be applied after the first portion. In evaluating this claim, it is worth quoting a larger section of the
17 claim than the parties have here put at issue in order to establish context:

18 ... an improved minority carrier lifetime control process comprising...
19 determining and depositing a dose of the transition metal not exceeding the maximum dose sufficient
20 to effect lifetime control without substantially increasing leakage current of the device; and
21 diffusing the metal atoms throughout the substrate at a temperature within said range, including;
diffusing a first portion of the dose throughout the substrate; and
diffusing a second portion of the dose...."

22 '202 patent, 28:56-29:5 (emphasis added). Not only does this language fail to support Ixys' position, it
23 actually undermines it. The diffusion of the dose is explicitly described as taking place in two steps and
24 involving a first and a second portion. But the initial deposition is mentioned only once; the process requires
25 "determining and depositing *a dose of the transition metal*," not two doses in sequence.

26 The specifications trace a similar pattern. The relevant portion of the specifications, cited by both
27 parties, teaches:
28

“First, the substrate is lightly dosed with, e.g., 10^{11} Pt atoms/cm², and diffusing the Pt atoms throughout the device at first elevated temperature, e.g., 850°-865°C. for one hour for a PIN. Second, an additional dose of Pt atoms is applied to a selected surface of the device and is diffused at a higher temperature, e.g., 950°-1100°C. for 5-20 seconds (rapid thermal anneal) such that the additional dose is retained in a gradient band near the selected surface. *Preferably, the doses can be applied in a single implant or silicide formation step.*

‘202 patent, 24:13-22 (emphasis added). The disclaimer in the last sentence effectively negates the full thrust of support that this section might have provided for Ixys’ position, and thus the specifications do not provide sufficient justification for amending the clearer claim language.

The court construes this claim term to mean: “That portion of the dose which is diffused after the first portion.”

F. “Gradient band near a selected surface of the substrate” (claim 1)

Although the parties employ slightly variant language in their proposed claim constructions, it appears that there are no material issues regarding this claim term that are in dispute. In an attempt to simply clarify and simplify parties’ constructions, the court construes this claim term to mean: **“Near to a selected surface of the substrate, and distributed non-uniformly within the substrate according to depth such that the concentration of transition metal atoms within the substrate is higher at or near the surface where it was introduced and decreases as one moves away from the surface and deeper into the substrate.”**

G. “The transition metal is tailored to have a relatively shallow profile compared to a completed diffusion throughout the entire substrate” (claim 7)

Ixys has revised its proposed construction of this language from Claim 7 to read: “Diffusing the transition metal atoms in the substrate in such a way that there is a higher concentration of transition metal atoms near the surface of the substrate, and a lower concentration of transition metal atoms in the substrate, than there would be with a completed diffusion ‘throughout the substrate.’” Ixys’ Opp. Br., at 19. APT states that it will accept Ixys’ construction as long as the phrase “lower concentration” is understood to be inclusive of zero. APT’s Reply Br., at 15. Ixys gives no indication that a “lower concentration” could not include zero, and indeed there is no basis for Ixys to argue such. In the context of this particular phrase of claim language, then, there appears to be no meaningful disagreement. The question of whether (in claim 7)

1 transition metal atoms must exist in all parts of the substrate hinges not on the comparison at issue in this
2 claim term, but on the construction of the word “throughout” in claim 2, which is addressed below.

3 Since the meaning of “throughout the substrate” is disputed, whereas the meaning of the original
4 term (“throughout the entire substrate”) is not (see (H)(1), *infra*), it seems prudent to make that substitution
5 within Ixys’ proposed phrase. The court construes this claim language to mean: **“Diffusing the transition
6 metal atoms in the substrate in such a way that there is a higher concentration of transition metal
7 atoms near the surface of the substrate, and a lower concentration of transition metal atoms in
8 the substrate, than there would be with a completed diffusion throughout the entire substrate
9 [construed *infra*].”**

10 H. “Through,” “Throughout,” and “Throughout the Entire”:

11 “Throughout the substrate” (claims 1 and 2)

12 “Through the substrate” (claim 3)

13 “Throughout the entire substrate” (claim 7)

14 Ixys and APT disagree as to whether the terms “through,” “throughout,” and “throughout the
15 entire,” which are collectively employed five times in claims 1, 2, 3, and 7 of the ‘202 patent, necessitate
16 that the transition metal that is to be deposited “throughout” or “through” the substrate be diffused into the
17 entire substrate, or merely into certain layers. The dispute over these terms stems in part from the slightly
18 different contexts in which the words are used, and the parties make a number of different arguments
19 specific to particular claims in particular contexts. In order to deal with these various arguments in as clear
20 and thorough a manner as possible, the court will address each section of claim language (and the
21 arguments accompanying it) separately.

22 1. “Throughout the Entire Substrate” (claim 7)

23 This claim term offers a useful starting point because the parties agree on its meaning. The court
24 construes this claim language to mean: **“Through every part of the substrate.”**

25 2. “Throughout the Substrate” (claim 1)

26 This phrase appears in two places within claim 1. In order to better elucidate the context in which
27 the term is used, the entire section involving this term is reprinted here:
28

1 diffusing the metal atoms *throughout the substrate* at a temperature within said range, including:
2 diffusing a first portion of the dose *throughout the substrate*; and
3 diffusing a second portion of the dose in a gradient band near a selected surface of the substrate.

4 ‘202 patent, Claim 1 (emphasis added). The claim language itself, within the context of the terms
5 surrounding it, forms the starting point of any construction analysis and is the most important factor that a
6 court must consider, and thus the court will address the contextual understanding of this language first.
7 Vitronics, 90 F.3d 1582. In this claim, the language at issue appears to be establishing a comparison
8 between two steps, and two types, of diffusion: the metal atoms are diffused through every part of the
9 substrate in two steps, the first being a broad diffusion of atoms through every part of the substrate, and the
10 second a more limited diffusion in just a gradient bandwidth near the substrate surface. The implicit contrast
11 is between the “first portion” of the dose which is diffused ubiquitously, and the “second portion,” the
12 deposition of which is limited to the area near the surface of the substrate.

13 APT, the owner of the ‘202 patent, protests that the specifications and the very nature of the
14 invention militate strongly against reaching such a conclusion and teach away from finding a requirement that
15 transition metal pervade the entire substrate. APT points to three sections of the specifications that it claims
16 represent preferred embodiments that do not require metal through “every part” of the substrate and
17 thereby support its position. As this court has noted previously, it is presumptively unreasonable and
18 improper to construe the claims in such a way that a preferred embodiment is excluded. See id. at 1582.

19 However, the three parts of the specifications relied upon by APT do not significantly aid its
20 position. APT first references Figure 17, a graph of transition metal concentration vs. depth within the
21 semiconductor for different types of dose and diffusion mechanisms. ‘202 patent, Figure 17. APT notes
22 that at a certain depth (the x-axis of the chart is unmarked, and so that depth is unknown), the line graph
23 showing the concentration of atoms intersects the x-axis (presumably implying that the concentration is
24 zero); APT therefore concludes that the transition metal “extends only partially into the semiconductor.”
25 APT’s Opening Br., at 11. However, the y-axis of this graph also lacks a label, and so there is no
26 indication that the x-axis actually represents the point at which transition metal concentration equals zero.
27 Rather, it may be that the graph is in some sense “normalized” based on the diffusion of the “first dose”
28 throughout the substrate; the background concentration of transition metal is dropped from the analysis, and

1 the graph itself represents only the concentration due to the diffusion of the second portion above the
2 already extant level.

3 APT also points to two sections within the same paragraph of the specifications. The first of these
4 two sections is rather opaque, and as such sheds little light on the current issue. The specifications teach
5 that “Low lifetime control doping in the bulk of the epitaxial layers combined with a higher concentration
6 near the substrate surface adjacent the PN junction can provide the best effect.” ‘202 patent, 24:8-12.
7 This language does not employ the word “throughout,” nor does it preclude diffusion throughout the entirety
8 of the substrate, since the specification language does not indicate whether the substrate may be doped
9 *only* in the epitaxial layers, or additionally elsewhere. The second of these sections essentially mirrors (in
10 structure) the claim language described above:

11 First, the substrate is lightly dosed with, e.g., 10^{11} Pt atoms/cm², and diffusing the Pt atoms
12 throughout the device at first elevated temperature, e.g., 850°-865°C. for one hour for a PIN.
13 Second, an additional dose of Pt atoms is applied to a selected surface of the device and is diffused
at a higher temperature, e.g., 950°-1100°C. for 5-20 seconds (rapid thermal anneal) such that the
additional dose is retained in a gradient band near the selected surface.

14 ‘202 patent, 24:13-21. Much like the claim language referenced above, this section of the specifications
15 describes the diffusion process in two steps, the first of which spreads metal atoms “throughout” the
16 substrate, and the second of which results in a gradient concentration near the surface. Neither of these
17 portions of the specifications significantly bolsters APT’s position.

18 APT’s final argument with reference to the particular language in Claim 1 draws upon the fact that
19 both parties have agreed that “throughout the entire substrate” in Claim 7 means that metal must be diffused
20 in every part of the substrate. APT contends that adopting Ixys’ position and construing “throughout” to
21 mean “through every part of” would eviscerate any possible meaning that might be attached to the word
22 “entire.” See, e.g., Apple Computer, 234 F.3d at 25 (Fed. Cir. 2000). APT is certainly correct about this
23 fact; however, such arguments from linguistic logic are not, by themselves, sufficient to overcome the plain
24 language and context of the disputed claim terms themselves. Finally, Ixys notes that the plain meaning of
25 the word “throughout” is “in, to, through, or during every part of; all through.” American Heritage
26 Dictionary of the English Language (4th ed. 2000). The dictionary definition of a word is hardly decisive in
27
28

1 this context, but it does serve to buttress the impression that the claims and specifications have already
2 made.

3 3. “Throughout the Substrate” (claim 2)

4 APT offers one additional argument related to the phrase of claim 2 that describes “diffusing the
5 metal atoms throughout the substrate at a temperature within said range.” ‘202 patent, claim 2. Claim 7 is
6 a dependant claim based upon claim 2 which describes “The fabrication process of claim 2 wherein the
7 transition metal is tailored to have a relatively shallow profile compared to a completed diffusion throughout
8 the entire substrate.” ‘202 patent, claim 7. APT protests that if the diffusion process described in claim 2
9 already involves spreading transition metal atoms throughout the entirety of the substrate, the process
10 described in claim 7 (which is dependant upon claim 2) must contain all the limitations of the claim 2
11 process, and thus cannot simultaneously include metal diffused throughout the substrate *and* “have a
12 relatively shallow profile compared to a completed diffusion throughout the entire substrate.”

13 If a “shallow profile” literally meant that there could be no transition metal atoms deposited below a
14 certain (shallow) depth, APT would seem correct in noting this inconsistency. However, the parties have
15 already agreed that a “shallow profile compared to a completed diffusion throughout the entire substrate”
16 must be understood to mean that “there is a higher concentration of transition metal atoms near the surface
17 of the substrate, and a lower concentration of transition metal atoms in the substrate, than there would be
18 with a completed diffusion throughout the entire substrate.” See (G), supra. It is entirely possible to
19 comply with both requirements simultaneously: a substrate would contain metal throughout every part, but a
20 lower concentration would be present at greater depths (and a higher concentration present at shallower
21 depths) than would exist after a “completed diffusion” (namely one in which no metal is purposefully
22 retained near the surface in a gradient band). APT’s contentions on these grounds thus lack merit.

23 4. “Through the Substrate” (claim 3)

24 APT’s final argument seizes upon the language of dependant claim 3, which directs one skilled in
25 the art to diffuse the transition metal “through the substrate” using a rapid thermal anneal process. APT
26 notes correctly that the only discussion of the “thermal anneal” process within the specifications comes in
27 reference to the deposition of the “second portion” of the transition metal dose. There, the specifications
28

1 state that the second portion of the dose is diffused according to “(rapid thermal anneal) such that the
2 additional dose is retained in a gradient band near the selected surface.” ‘202 patent, 24:19-21. This
3 conclusive linkage between a “thermal anneal” process and the diffusion of atoms only within a thin band
4 near the surface of the substrate provides a strong indication that the word “through” in this claim cannot
5 mean “throughout the entirety” of the substrate.⁵

6 APT’s reasonably strong showing that “through” should be construed in such a way that it does not
7 require that metal be diffused throughout the entirety of the substrate creates additional problems in this
8 analysis. Claim 3 is a dependant claim of claim 2 that describes a specific process for diffusing “the
9 selected transition metal,” and claim 2 requires “diffusing the metal atoms throughout the substrate.” If claim
10 2 is construed such that metal must be diffused into every part of the substrate, Claim 3 must contain the
11 same limitation. Reciprocally, if claim 3 does not require that transition metal be diffused throughout the
12 entire substrate, claim 2 must not either. And if the word “throughout” in claim 2 does not require diffusion
13 throughout the entire substrate, the desire for harmony among the claims would militate against construing
14 the term “throughout” in claim 1 to require diffusion throughout the substrate and thereby attaching to it a
15 meaning different than the same term in claim 2.

16 The ties among these claims leaves this court with very little choice but to construe them all in
17 congruent fashion, and in the face of conflicting and essentially irreconcilable implications in the claims and
18 specifications (as well as the dictionary). Although both parties have put forth reasonable cases, it seems to
19 the court that the preponderance of the evidence weighs in favor of attaching to “throughout” and “through”
20 the meanings that the plain language and the structure of the claims themselves would most appear most
21 clearly to dictate. The court construes all of the claim terms at issue here to mean: **“Throughout every
22 part of the substrate.”**

23 I. “Profile tailoring the concentration of transition metal atoms in the substrate relative to the
24 surface of the substrate” (claim 2)

25 The construction of this claim involves a number of peculiarities, not least of all the fact that APT’s
26 explanation of profile tailoring *in the background section of its brief* provides a clearer and more obvious
27 explication of the term than either of the parties’ proposed constructions, the specifications, or the claim
28 itself. In its opening brief, APT wrote that “[a]t least part of the platinum diffusion has a tailored profile, that

1 is, the concentration of platinum with respect to the substrate surface changes as a function of distance from
2 that surface, as shown in Figure 3.” APT Opening Br., at 4. This explanation comports well with an
3 intuitive understanding of “profile tailoring,” namely the structuring and adjusting of (in this case) transition
4 metal atoms in order to achieve a particular layout or pattern. Nevertheless, since this explanation is
5 offered only in the background section to APT’s Brief, the court will turn to the claim and specifications for
6 elucidation.⁶

7 Both sides agree that only one portion of the specifications discusses profile-tailoring, and even then
8 somewhat obliquely. The specifications teach that “[t]his technique can also be used to profile-tailor the
9 lifetime control dopant with multiple temperature cycles.” ‘202 patent, 24:1-3. The specifications that
10 succeed this sentence describe a process for diffusing metal atoms into the substrate in a series of steps. In
11 particular, it discusses a particular alignment of metal atoms within the substrate: “Low lifetime control
12 doping in the bulk of the epitaxial layers combined with a higher concentration near the substrate surface
13 adjacent the PN junction can provide the best effect. This *doping profile* can be obtained....” ‘202
14 patent, 24:8-12. This leads to the conclusion that a “profile” is indeed a particular pattern or alignment of
15 transition metal atoms within the substrate. Drawing upon the sentence of the specifications that mentions a
16 technique to “profile-tailor,” it would appear that “tailoring” is being used in typical fashion in this context to
17 mean “fashioning, adapting, structuring, or adjusting for a particular purpose.” This understanding is
18 congruent with claim 7 of the ‘202 patent, which discusses tailoring a transition metal to have a “relatively
19 shallow profile.” ‘202 patent, claim 7. In that case the “profile” or pattern of metal concentrations is being
20 adjusted in order to achieve the desired “shallow” pattern.

21 Strangely, both parties propose a number of limitations that do not appear to be compelled by
22 either the claim language or the specifications. Ixys wishes to add the requirement that the profile of metal
23 atoms must be adjusted in at least two places in the substrate based upon only a preferred embodiment
24 which itself is explicitly non-exclusive.⁷ Furthermore, there is no support whatsoever within the
25 specifications or the claims for Ixys’ assertion that a tailored profile involves metal atom concentrations that
26 are higher in one place and lower in another than “would be achieved by a single application and diffusion
27 of transition metal atoms at a time and temperature.” Ixys’ Opp. Br., at 17. Ixys attacks APT’s proposed
28

1 construction because it would not exclude the possibility of a “flat profile,” namely one in which the
2 concentration of metal atoms is constant with respect to depth in the substrate. However, the only reliable
3 indication that a “flat profile” must be excluded comes from the Background section of APT’s
4 memorandum, which describes a concentration that varies with respect to depth.

5 APT’s proposed construction seems both under- and over-inclusive. On one hand, there is no
6 reason to limit the claim language to a process that places metal atoms near the surface of the substrate in a
7 gradient band; the claim language (and the specifications, which describe only briefly a preferred
8 embodiment) is broad enough to encompass types of tailoring that might involve deposition of transition
9 metal deep into the substrate. However, APT’s language fails to capture what appears to be the nucleus of
10 the meaning of “profile tailoring,” namely that metal atoms are being deposited in certain areas or layers
11 precisely in order to create a particular pattern or “profile.”

12 Finally, neither side has discussed the phrase “relative to the surface of the substrate” in any real
13 “depth.” However, the use of this phrase within a semi-conductor patent (a field in which devices are
14 created in stacked layers) and the manner in which it is employed lead the court to believe that a person
15 skilled in the art would reasonably understand it to mean “as a function of depth.”

16 In light of these factors, the court construes this claim term as follows: **“Fashioning or adjusting**
17 **the concentration of transition metal atoms as a function of depth within the substrate in order to**
18 **create a particular pattern or layout of depth-wise concentrations.”**

19 J. “Rapid thermal anneal process” (claims 3, 4, and 5)

20 Much to this court’s dismay, neither party has been terribly helpful with regard to the construction
21 of this claim term. The phrase “rapid thermal anneal” appears only once within the specifications: “Second,
22 an additional dose of Pt atoms is applied to a selected surface of the device and is diffused at a higher
23 temperature, e.g., 950°-1100°C. for 5-20 seconds (rapid thermal anneal).” ‘202 patent, 24:17-20. Ixys
24 seizes upon this specification language and attempts to construct claim limitations from it, despite the fact
25 that not only is this language almost surely a preferred embodiment (which cannot be read into the claims),
26 see Intervet, 887 F.2d at 1053, but it is *explicitly non-exclusive*, since it contains the signal “e.g.” Ixys
27 might be able to construct an argument that the “e.g.” is denominating one of the “higher temperatures” at
28

1 which Pt may be diffused, and that therefore the parenthetical “(rapid thermal anneal)” is in fact defining this
2 process, but they have not attempted to do so—the lack of any justification for such a brazen disregard for
3 the typical canons of claim construction is one of the most striking aspects of this section of Ixys’ brief.

4 Regardless, this limitation cannot possibly be valid. First, it refers only to platinum, while the claims
5 in no way limit the scope of possible metals. Second, if the temperature range is to be read into the claims
6 as a limitation there is no reason to believe that the temporal range should not similarly be included;
7 however, to do so would render Claims 4 and 5 redundant in violation of the principle of presumed claim
8 differentiation. See, e.g., Karlin Tech., Inc. v. Surgical Dynamics, Inc., 177 F.3d 968, 971-72 (Fed. Cir.
9 1999). APT’s proposal to read the 1 minute limitation from Claim 5 into the definition of “rapid thermal
10 anneal” is similarly wrong-headed. See id. at 972 (“limitations stated in dependent claims are not to be
11 read into the independent claim from which they depend”).

12 By contrast, APT proposes that a rapid thermal anneal process be construed to involve a system
13 “able to handle very short thermal cycles over very large thermal excursions,” without giving any indication
14 of what a “cycle” or “excursion” is in this context (neither term is used in either the claims or the
15 specifications) or trying to define “very short” or “very large” in any meaningful manner. If ever there were
16 ever a moment to employ a modicum of that much-maligned “extrinsic evidence,” this is it. However, APT
17 writes that “What is important is that the system that implements the process be capable of short thermal
18 cycles over large thermal excursions, such that the metal dopant profile can be created in a short time at that
19 temperature and immediately frozen in the substance.” without any citation whatsoever.

20 This places the court essentially in the position of guessing at the meaning of this term, or of defining
21 it broadly and unspecifically (as APT seems to request). Since Ixys has given this court no cognizable
22 reason to deviate substantially from APT’s generalized construction, the court construes this claim term to
23 mean: **“A process that employs high temperatures for short periods of time.”**

24 CONCLUSION

25 For the foregoing reasons, the court construes the disputed claims in the manner described above.
26
27
28

Dated: January 22, 2004

/s/
MARILYN HALL PATEL
Chief Judge, United States District Court

1. The background facts are taken from the parties' moving papers, unless otherwise noted.
2. Indeed, most power MOSFETs are designed such that all of the transistors placed on a substrate share a common drain.
3. Since this court has already determined that the term "overlying" does not imply a requirement of electrical contact, APT cannot now bootstrap this requirement onto that language.
4. A moderate amount of discussion took place during oral argument regarding whether the word "typically" in the sentence at issue here ("Applicant asserts such metal layer other than metal silicide as taught by Korman et al. cannot be aluminum because the claimed metallization layer comprising aluminum is typically deposited overlying a top surface of a semiconductor often over portions of silicon oxide, and then masked and etched to form the desired patterns.") is meant to refer only to the clause that immediately succeeds it ("deposited overlying a top surface of a semiconductor...") or to the entire phrase, including the reference to masking and etching. This is a linguistic dilemma without a clear answer, but that fact is itself dispositive—the line in question does not represent an *unambiguous* disclaimer of claim scope.
5. APT also argues that it is physically impossible to diffuse transition metal throughout an entire substrate using a rapid thermal anneal process. See APT's Opening Br., at 12. Since claims are to be construed as they would be understood by an individual skilled in the art, there is certainly good reason not to read a claim to

1 create a physical impossibility. However, APT cites to know scientific authority, intrinsic or extrinsic, for this
2 proposition, and thus the court will not consider it further.

3 6. Interestingly, in the section of its brief discussed here, APT cites to the same portion of the specifications
4 that it employs in its argument regarding the construction of this claim language.

5 7. The specifications refer to “the best effect” and “This doping profile,” implying straightforwardly that this is
6 only one of many potential profiles that may be achieved through different tailoring algorithms. ‘202 patent,
7 24:11-12.